

2018  
Edition

# FINANCIAL STREET

[ 5 Years of Trust ]



NCFM Capital Market Dealers Module Mock Test

2018 Edition



## Who we are

Financial Street is a well-recognized name in the financial market education. We are specializes in training investors and providing high quality training to investors and traders across the country. Our vision is to be the most sought after learning provider in the areas of finance and leadership learning.

Financial Street is a group of professionals; our educational program is anchored around that philosophy. Our program is guided by our vision and mission.

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*“Success is the result of perfection, hard work, learning from failure, loyalty, and persistence.”*

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### NCFM Capital Market Dealers Module Mock Test

## Contact Us

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## FINANCIAL STREET

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### ***NCFM Capital Market Dealers Module Mock Test Details***

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The NCFM Capital Market Dealers Module Mock Test exam is one of the essential exams directed by the National Stock Exchange. Its helpful for landing positions in Stock Broking organizations or for beginning your own Stock Broking business. It's additionally increases the value of your resume while applying for occupations in Financial Sector/IT/BPO and so on organizations. Aside from these it is likewise helpful to get a genuinely decent learning of the working of Indian Stock Markets.

“NCFM Capital Market Dealers Module Mock Test”

**Ques01:- In ASBA, the amount blocked in.**

- a) Trading members account
- b) Investor own account
- c) Both A and B
- d) None of the above

**Ans: - B**

**Ques02:- The capital market segment of NSE commenced operations in.**

- a) Sep – 96
- b) Nov – 94
- c) Aug – 96
- d) Nov – 96

**Ans: - B**

**Ques03:- The first two characters in ISIN code for a security represents...**

- a) Issuer type
- b) Security type
- c) Country code
- d) Company identity

**Ans: - C**

**Ques04:- Clearing and settlement of trades and risk management are central function of NSCCL.**

- a) True
- b) False

**Ans: - B**

**Ques05:- Who provide counter party guarantee for all trades executed on NSEIL?**

- a) NSE – Clearing House
- b) NSEIL
- c) NSDL
- d) NSCCL

**Ans: - D**

**Ques06:- The secured loans a part of a liability or asset in balance sheet?**

- a) Liability
- b) Asset

**Ans:- A**

**Ques07:- The corporate action indicator XB on the NEAT Systems Stand for?**

- a) Ex - dividend
- b) Ex - bonus
- c) Ex – rights
- d) Ex – Interest

**Ans:- B**

**Ques08:- Do clearing bank provide stock lending facilities?**

- a) True
- b) False

**Ans: - B**

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## Why Financial Street

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### Testimonial

*“My life took a new turn when Financial Street NCFM exam Mock Test guide came into my life. This pathway gave me the vision and I passed my 2 NCFM exam with outstanding success.”*

**Mr.Shiva**

**(Uttaranchal)**

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### Our Vision

Our vision is to be the most sought after learning provider in the areas of finance and leadership learning. Our vision is to have a global presence in providing best value for money and innovative & fruitful learning to maximum satisfaction of students.

### Our Mission

Our mission is to promote an open learning environment that brings together people, cultures and ideas from around the world, changing lives and helping transform organizations through innovative learning programs. Our mission is to transform our passionate students into dynamic stock market professionals who are knowledgeable, trained and committed to the profession.

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## About the Author

This Mock Test is developed by Mr. Vikas Sharma (Technical Analyst & Having more than 10 years' Experience in Money Market) in coordination with the Team of Financial Street. Mock Test is reviewed by Dr. Uma (Professor & PHD in Economics).

**“THANK YOU”**

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